

# Introduction (1)

## Lecture notes 13.0

### *First-order logic: semantics*

COMP 2411, session 1, 2004

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## Introduction (2)

Choosing English words for function and predicate symbols usually indicates that we have an intended interpretation in mind. A formula such as

$$\forall x \forall y ((\text{mother}(x) \wedge \text{child\_of}(y, x)) \rightarrow \text{loves}(x, y))$$

gets a meaning when we ‘read’ it in English: every mother loves her children.

What is meant by *meaning* here

- is a relationship between an English sentence and the physical world;
- is hard to define precisely;
- is rich and not reducible to true or false.

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It is important to make the distinction between **concrete** and **abstract** interpretations.

Often we define the nonlogical symbols of a vocabulary having a concrete interpretation in mind. The semantics of the predicate calculus is based on abstract interpretations, *i.e.*, mathematical **structures**.

Whether a mathematical structure can offer an adequate picture of the real world is a question that can be addressed, but outside the realm of mathematical logic.

The semantics of the predicate calculus defines and studies the notion: **a formula is true/false in a structure**.

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## Introduction (3)

In logic, what is meant by *meaning*

- is a relationship between a closed (first-order) formula and an abstract world, namely, an algebraic structure;
- is perfectly well defined and formalized;
- is reducible to true or false.

In logic, giving a meaning to

$$\forall x \forall y ((\text{mother}(x) \wedge \text{child\_of}(y, x)) \rightarrow \text{loves}(x, y))$$

is equivalent to giving a meaning to

$$\forall x \forall y ((P(x) \wedge Q(y, x)) \rightarrow R(x, y)).$$

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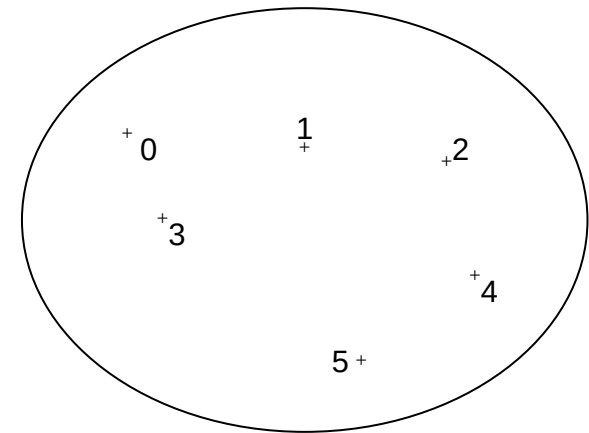
## An example

Consider a vocabulary  $V$  whose nonlogical symbols are a constant "a", a unary function symbol "s", a unary predicate symbol "P", and a binary predicate symbol "R". A **structure**  $\mathfrak{M}$  over  $V$  is defined as:

- a nonempty  $X$  set of individuals—the **domain** of  $\mathfrak{M}$
- an interpretation of "a" in  $\mathfrak{M}$ : a member of  $X$
- an interpretation of "s" in  $\mathfrak{M}$ : a unary operation over  $X$  (function from  $X$  into  $X$ )
- an interpretation of  $P$  in  $\mathfrak{M}$ : a unary relation over  $X$  (subset of  $X$ )
- an interpretation of  $R$  in  $\mathfrak{M}$ : a binary relation over  $X$  (subset of  $X^2$ )

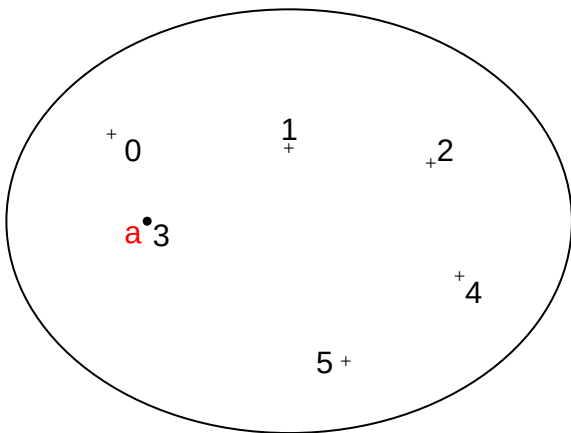
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## The domain of $\mathfrak{M}$ ...



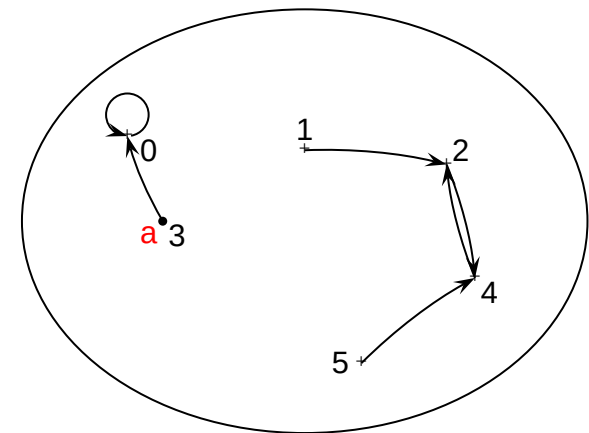
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## ...plus the interpretation of "a"...



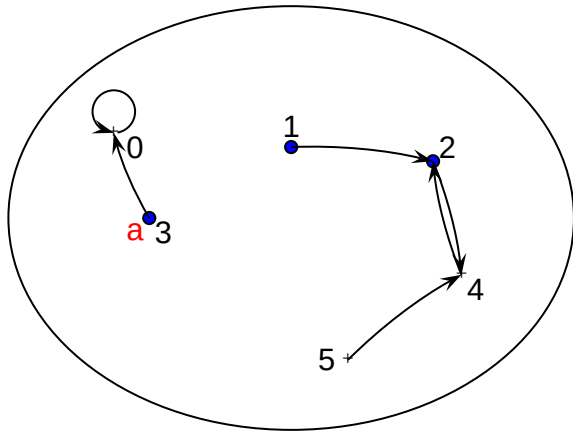
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## ...plus the interpretation of "s"...



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## ...plus the interpretation of "P"...



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## Who has a name?

3 has a unique name: *a*

0 has infinitely many names:  $s(a)$ ,  $s(s(a))$ ,  $s(s(s(a)))$ , ...

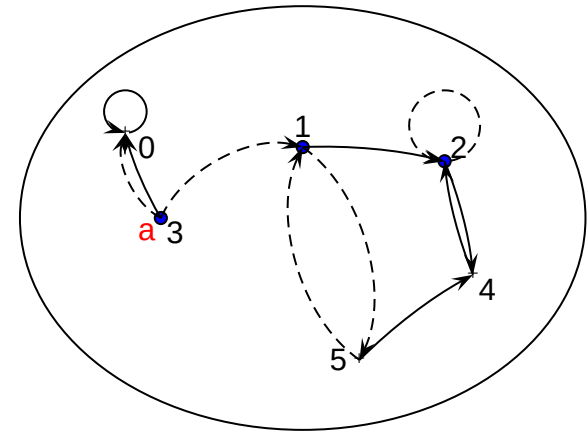
1, 2, 4 and 5 have no name, though we can refer to them **indirectly** if we have equality in the language:

- 1 is the unique guy  $x$  such that  $\exists y \exists z (y \neq z \wedge R(y, x) \wedge R(z, x))$
- 2 is the unique guy  $x$  such that  $R(x, x)$
- 4 is the unique guy  $x$  such that  $\exists y (R(y, y) \wedge s(y) = x)$
- 5 is the unique guy  $x$  such that  $s(s(x)) \neq x \wedge R(s(s(x)), s(s(x)))$

Usually, most individuals in a structure have no name and cannot even be referred to indirectly.

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## ...plus the interpretation of "R"...



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## Description of $\mathfrak{M}$

Because  $\mathfrak{M}$  is finite, we can describe  $\mathfrak{M}$ , **up to isomorphism**, i.e., up to the nature of its individuals, as the unique structure that satisfies the following formula:

*Cardinality of the domain:*

$$\exists x_0 \dots \exists x_5 (x_0 \neq x_1 \wedge x_0 \neq x_2 \wedge \dots \wedge x_4 \neq x_5 \wedge \forall x (x = x_0 \vee \dots \vee x = x_5) \wedge \dots)$$

*Description of  $s$ :*  $s(x_0) = x_0 \wedge s(x_1) = x_2 \wedge s(x_2) = x_4 \wedge s(x_3) = x_0 \wedge s(x_4) = x_2 \wedge s(x_5) = x_4 \wedge \dots$

*Description of  $P$ :*

$$\forall x (P(x) \leftrightarrow (x = x_1 \vee x = x_2 \vee x = x_3)) \wedge \dots$$

*Description of  $R$ :*

$$\forall y \forall z (R(y, z) \leftrightarrow ((y = x_3 \wedge z = x_0) \vee (y = x_3 \wedge z = x_1) \vee (y = x_1 \wedge z = x_5) \vee (y = x_5 \wedge z = x_1) \vee (y = x_2 \wedge z = x_2)))$$

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## Structures: definition

Given a vocabulary  $V$ , a **structure**  $\mathfrak{M}$  **over**  $V$  is a nonempty set, called the **domain of**  $\mathfrak{M}$ , denoted  $|\mathfrak{M}|$ , together with an interpretation of all function and predicate symbols in  $V$ , i.e.:

- for each  $n$ -ary function symbol  $f \in V$ , an  $n$ -ary operation  $f^{\mathfrak{M}}$  over  $|\mathfrak{M}|$ ;
- for each  $n$ -ary predicate symbol  $R \in V$ , an  $n$ -ary relation  $R^{\mathfrak{M}}$  over  $|\mathfrak{M}|$ .

In particular, each constant  $c \in V$  is interpreted as a member  $c^{\mathfrak{M}}$  of  $|\mathfrak{M}|$ .

We can represent a structure graphically if its domain is small, no function symbol is of arity greater than 1, and no predicate symbol is of arity greater than 2.

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## Meaning of closed terms and formulas

Let  $\mathfrak{M}$  be a structure over a vocabulary  $V$ .

- The meaning, or interpretation, in  $\mathfrak{M}$  of a *closed* term over  $V$  is a member of  $|\mathfrak{M}|$ .
- The meaning, or interpretation, in  $\mathfrak{M}$  of a *closed* formula over  $V$  is either "true" or "false".

To define formally the meaning of closed terms and formulas in structure  $\mathfrak{M}$ , it is necessary to be able to refer to each member of  $|\mathfrak{M}|$ , which is not possible in general.

So we **enrich** the vocabulary with new constants, one per individual in  $\mathfrak{M}$ , defining:

$$V^{\mathfrak{M}} = V \cup \{\bar{c} \mid c \in |\mathfrak{M}|\}$$

where for each  $c \in |\mathfrak{M}|$ ,  $\bar{c}$  is a new constant.

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## $\mathfrak{M}$ defined formally

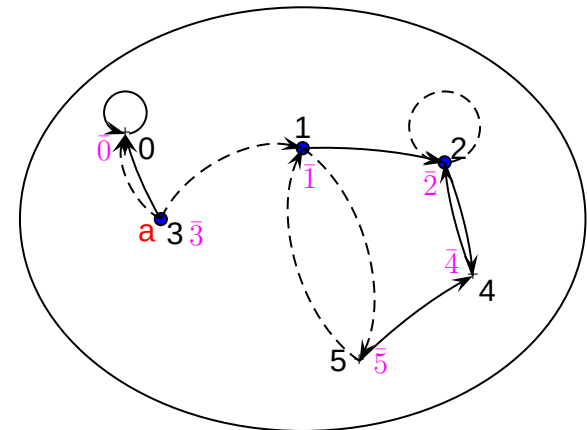
With our running example:

- $|\mathfrak{M}| = \{0, 1, 2, 3, 4, 5\}$
- $a^{\mathfrak{M}} = 3$
- $s^{\mathfrak{M}} : \{0, 1, 2, 3, 4, 5\} \rightarrow \{0, 1, 2, 3, 4, 5\}$  maps 0 to 0, 1 to 2, 2 to 4, 3 to 0, 4 to 2, 5 to 4
- $P^{\mathfrak{M}} = \{(1), (2), (3)\}$ , identified with  $\{1, 2, 3\}$
- $R^{\mathfrak{M}} = \{(3, 0), (3, 1), (1, 5), (5, 1), (2, 2)\}$

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## $\mathfrak{M}$ now viewed as a structure over $V^{\mathfrak{M}}$

With our running example,  $V^{\mathfrak{M}} = \{a, s, P, R, \bar{0}, \bar{1}, \bar{2}, \bar{3}, \bar{4}, \bar{5}\}$



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## Interpretation of closed terms

Note that every term over  $V$  is a term over  $V^{\mathfrak{M}}$ .

Given a closed term  $t$  over  $V^{\mathfrak{M}}$ , the interpretation of  $t$  in  $\mathfrak{M}$  is denoted  $t^{\mathfrak{M}}$ . It is inductively defined as follows.

- For all  $c \in |\mathfrak{M}|$ , the interpretation  $\bar{c}^{\mathfrak{M}}$  of  $\bar{c}$  in  $\mathfrak{M}$  is  $c$ .
- For all  $n$ -ary function symbols  $f$  in  $V$  and closed terms  $t_1, \dots, t_n$  over  $V^{\mathfrak{M}}$ , the interpretation  $f(t_1, \dots, t_n)^{\mathfrak{M}}$  of  $f(t_1, \dots, t_n)$  in  $\mathfrak{M}$  is  $f^{\mathfrak{M}}(t_1^{\mathfrak{M}}, \dots, t_n^{\mathfrak{M}})$ .

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## Interpretation of some terms in $\mathfrak{M}$

With our running example:

- $\bar{3}^{\mathfrak{M}} = 3$
- $a^{\mathfrak{M}} = 3$
- $s(s(s(a)))^{\mathfrak{M}} = s^{\mathfrak{M}}(s(s(a))^{\mathfrak{M}}) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(s(a))^{\mathfrak{M}}) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(s^{\mathfrak{M}}(a^{\mathfrak{M}}))) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(s^{\mathfrak{M}}(3))) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(0)) = s^{\mathfrak{M}}(0) = 0$
- $s(s(s(\bar{1})))^{\mathfrak{M}} = s^{\mathfrak{M}}(s(s(\bar{1}))^{\mathfrak{M}}) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(s(\bar{1}))^{\mathfrak{M}}) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(s^{\mathfrak{M}}(\bar{1}^{\mathfrak{M}}))) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(s^{\mathfrak{M}}(1))) = s^{\mathfrak{M}}(s^{\mathfrak{M}}(2)) = s^{\mathfrak{M}}(4) = 2$

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## Interpretation of closed formulas (1)

Given a closed formula  $\varphi$  over  $V^{\mathfrak{M}}$ , the interpretation of  $\varphi$  in  $\mathfrak{M}$  is either "true" or "false".

Note that every formula over  $V$  is a formula over  $V^{\mathfrak{M}}$ .

We write  $\mathfrak{M} \models \varphi$  when  $\varphi$  is true in  $\mathfrak{M}$  and  $\mathfrak{M} \not\models \varphi$  otherwise.

The definition of " $\varphi$  is true in  $\mathfrak{M}$ " is inductive:

- For all  $n$ -ary predicate symbols  $R$  in  $V$  and closed terms  $t_1, \dots, t_n$  over  $V^{\mathfrak{M}}$ ,  $\mathfrak{M} \models R(t_1, \dots, t_n)$  iff  $(t_1^{\mathfrak{M}}, \dots, t_n^{\mathfrak{M}}) \in R^{\mathfrak{M}}$
- For languages with equality only:  
for all closed terms  $t_1, t_2$ ,  $\mathfrak{M} \models t_1 = t_2$  iff  $t_1^{\mathfrak{M}} = t_2^{\mathfrak{M}}$

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## Interpretation of closed formulas (2)

- For all closed formulas  $\psi, \xi$ ,  $\mathfrak{M} \models \psi \vee \xi$  iff  $\mathfrak{M} \models \psi$  or  $\mathfrak{M} \models \xi$
- For all closed formulas  $\psi, \xi$ ,  $\mathfrak{M} \models \psi \wedge \xi$  iff  $\mathfrak{M} \models \psi$  and  $\mathfrak{M} \models \xi$
- For all closed formulas  $\psi, \xi$ ,  $\mathfrak{M} \models \psi \rightarrow \xi$  iff  $\mathfrak{M} \not\models \psi$  or  $\mathfrak{M} \models \xi$
- For all closed formulas  $\psi, \xi$ ,  $\mathfrak{M} \models \psi \leftrightarrow \xi$  iff both  $\mathfrak{M} \models \psi$  and  $\mathfrak{M} \models \xi$ , or both  $\mathfrak{M} \not\models \psi$  and  $\mathfrak{M} \not\models \xi$
- For all formulas  $\psi$  and variables  $x$  such that  $\exists x\psi$  is closed,  $\mathfrak{M} \models \exists x\psi$  iff  $\mathfrak{M} \models \psi[\bar{c}/x]$  for at least one  $c \in |\mathfrak{M}|$
- For all formulas  $\psi$  and variables  $x$  such that  $\forall x\psi$  is closed,  $\mathfrak{M} \models \forall x\psi$  iff  $\mathfrak{M} \models \psi[\bar{c}/x]$  for all  $c \in |\mathfrak{M}|$

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# Interpretation of some formulas in $\mathfrak{M}$

With our running example:

- $\mathfrak{M} \models \neg R(s(s(s(\bar{1}))), a)$  since  $s(s(s(\bar{1})))^{\mathfrak{M}} = 2$ ,  $a^{\mathfrak{M}} = 3$ , and  $(2, 3) \notin R^{\mathfrak{M}}$
- $\mathfrak{M} \models \exists x R(x, s(x))$  since  $s(\bar{3})^{\mathfrak{M}} = 0$  and  $(3, 0) \in R^{\mathfrak{M}}$
- $\mathfrak{M} \models \exists x \neg R(x, s(x))$  since  $s(\bar{2})^{\mathfrak{M}} = 4$  and  $(2, 4) \notin R^{\mathfrak{M}}$
- $\mathfrak{M} \models \forall x (P(x) \rightarrow \exists y R(x, y))$  since  $P^{\mathfrak{M}} = \{1, 2, 3\}$ ,  $(1, 5) \in R^{\mathfrak{M}}$ ,  $(2, 2) \in R^{\mathfrak{M}}$ , and  $(3, 1) \in R^{\mathfrak{M}}$
- $\mathfrak{M} \models \forall x \forall z (\exists y (R(x, y) \wedge R(y, z)) \rightarrow (P(x) \vee P(z)))$  as can be checked easily, using in particular the facts that  $(3, 1) \in R^{\mathfrak{M}}$ ,  $(1, 5) \in R^{\mathfrak{M}}$ , and  $3 \in P^{\mathfrak{M}}$ .
- $\mathfrak{M} \models \forall x (\neg(P(s(x)) \vee P(s(s(x)))) \rightarrow (x = a \vee x = s(a)))$